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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/690,796	10/17/2000	Craig L. Ogg	39477/RRT/S850

23363  
 CHRISTIE, PARKER & HALE, LLP  
 350 WEST COLORADO BOULEVARD  
 SUITE 500  
 PASADENA, CA 91105



## FORMALITIES LETTER



OC000000005608155

Date Mailed: 12/08/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1898.
  - \$1818 for 101 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2738.

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Date Mailed: 12/08/2000

*A copy of this notice MUST be returned with the reply.**Susan Wodaj*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#3 Sector  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 30, 2001.

Applicant : Craig L. Ogg  
Application No. : 09/690,796  
Filed : October 17, 2000  
Title : SECURE AND RECOVERABLE DATABASE FOR  
ON-LINE VALUE-BEARING ITEM SYSTEM  
Grp./Div. : 2161  
Examiner : To be assigned  
Docket No. : 39477/RRT/S850

**LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
January 30, 2001

Commissioner:

In response to the Notice to File Missing Parts of Application dated December 8, 2000, enclosed are:

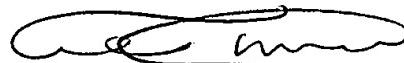
1. Copy of Notice to File Missing Parts of Application.
2. Check for \$2,738, including the filing fee (\$2,608) and surcharge (\$130) for a large entity.
3. Executed Declaration and Power of Attorney.
4. An Assignment of the invention with the Recordation Form Cover Sheet in a separate envelope addressed to Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231, along with our check for \$40.00.

Application No. 09/690,796

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the pendency of this application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP



By \_\_\_\_\_

Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/dsz  
Enclosures  
DSZ PAS300354.1--1/30/01 1:00 PM